

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Itochu Corporation

Application No./Patent No./Control No.: 10/038,556

Filed/Issue Date: January 4, 2002

Entitled: SOLID POLYMER ELECTROLYTE LITHIUM BATTERY

Itochu Corporation

(Name of Assignee)

a Corporation

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____%)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Chervakov, Oleg V. To: Ener1 USA
The document was recorded in the United States Patent and Trademark Office at
Reel 013789, Frame 0576, or for which a copy thereof is attached.
2. From: Chervakov, Oleg V. To: Ener1 USA
The document was recorded in the United States Patent and Trademark Office at
Reel 013789, Frame 0654, or for which a copy thereof is attached.
3. From: Shembel, Elena M., Novak, Peter To: Ener1 Group, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 013789, Frame 0580, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose name is supplied below) is authorized to act on behalf of the assignee.

Michael G. Shariff
Signature

Michael G. Shariff

Printed or Typed Name

Patent Agent
Title

05/21/2006
Date

(248) 723-0400

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Additional documents in the chain of title are listed below:

4. From: Ener1 Group, Inc. To: Bzinfin SA
The document was recorded in the United States Patent and Trademark Office at
Reel 013461, Frame 0474, or for which a copy thereof is attached.
5. From: Ener1 Group, Inc. To: Ener1 Battery Company
The document was recorded in the United States Patent and Trademark Office at
Reel 013789, Frame 0541, or for which a copy thereof is attached.
6. From: Ener1 USA Incorporated To: Ener1 Battery Company
The document was recorded in the United States Patent and Trademark Office at
Reel 013789, Frame 0630, or for which a copy thereof is attached.
7. From: Bzinfin, SA To: Ener1 Battery Company
The document was recorded in the United States Patent and Trademark Office at
Reel 013842, Frame 0267, or for which a copy thereof is attached.
8. From: Ener1 Battery Company To: Itochu Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel 014103, Frame 0856, or for which a copy thereof is attached.
9. From: Ener1 Battery Company To: Itochu Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel 014829, Frame 0718, or for which a copy thereof is attached.
10. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.